

The Riemann—Lebesgue theorem on groups

By R. R. GOLDBERG and A. B. SIMON¹⁾ in Evanston (Illinois, U. S. A.)

In [2] HEWITT gives an interesting and elegant *constructive* proof of PLANCHEREL's theorem for L^2 functions on a locally compact abelian group (*LCAG*). His proof is modelled on the classical proof of F. RIESZ of the special case in which the group is the real line R^1 . We notice that in HEWITT's proof the Riemann—Lebesgue theorem is taken as known. However, to our knowledge, no *constructive* proof of the Riemann—Lebesgue theorem for the general *LCAG* has ever been given. The proof of this theorem is easy in the case of R^1 , but only because the explicit form of the group characters as functions is known. The theorem for the general *LCAG* is always deduced from the Gelfand theory (see, for example, [4]) via the Tychonoff—Alaoglu theorem and other far from trivial considerations. This approach completely obscures the relation of the group structure to the theorem. In this paper we give a constructive proof of the Riemann—Lebesgue theorem for the general *LCAG* (again modelled on a well-known proof of the case of R^1). In particular, some light will be thrown on the behavior of the group characters as functions. (See Definition B and Theorem H.)

We begin with the following well-known proof. (See [1], for example.)

Theorem A. (Riemann—Lebesgue theorem for R^1 .) *Let $f \in L^1(R^1)$ and let \hat{f} be the Fourier transform of f ;*

$$(1) \quad \hat{f}(\gamma) = \int_{-\infty}^{\infty} e^{-i\gamma x} f(x) dx \quad (\gamma \in R^1).$$

Then $\lim_{\gamma \rightarrow \pm\infty} \hat{f}(\gamma) = 0$. That is, the Fourier transform of an L^1 function vanishes at infinity.

Proof. For $y \in R^1$ let $f_y(x) = f(x - y)$ ($y \in R^1$). Given $\varepsilon > 0$ choose $\delta > 0$ such that $\|f - f_y\|_1 < 2\varepsilon$ if $|y| < \delta$. From (1) we have, for $\gamma \neq 0$,

$$(2) \quad -\hat{f}(\gamma) = \int_{-\infty}^{\infty} e^{-i\gamma \left(x + \frac{\pi}{\gamma}\right)} f(x) dx = \int_{-\infty}^{\infty} e^{-i\gamma x} f\left(x - \frac{\pi}{\gamma}\right) dx.$$

¹⁾ This research was supported by the National Science Foundation Grants 2130 and 3930.

Subtracting (2) from (1) we have

$$2f^\wedge(\gamma) = \int_{-\infty}^{\infty} e^{-i\gamma x} \left[f(x) - f\left(x - \frac{\pi}{\gamma}\right) \right] dx, \quad 2|f^\wedge(\gamma)| \leq \|f - f_{\frac{\pi}{\gamma}}\|_1,$$

and hence, if $|\pi/\gamma| < \delta$, then $2|f^\wedge(\gamma)| < 2\varepsilon$. That is,

$$|f^\wedge(\gamma)| < \varepsilon \quad \left(|\gamma| > \frac{\pi}{\delta} \right).$$

This proves the theorem.

As we shall demonstrate, the key to the proof of the theorem is the fact that at the point $x = \frac{\pi}{\gamma}$, the character $x \rightarrow e^{i\gamma x}$ takes the value -1 . In particular, if U is the neighborhood $(-\delta, \delta)$ of 0, then there is a compact set $K = \left[-\frac{\pi}{\delta}, \frac{\pi}{\delta}\right]$ such that if $\gamma \in R^1 - K$ then the character determined by γ (namely $x \rightarrow e^{i\gamma x}$) takes a value at some point of U (namely π/γ) whose real part is ≤ 0 . It is this property that we shall demonstrate for the general LCAG.

Definition B. Let G be a LCAG with character group Γ . We say that G has the R—L property, if, for any neighborhood U of the identity 0 of G there exists a compact set K in Γ such that, if $\gamma \in \Gamma - K$ then there exists $x \in U$ with $\operatorname{Re} \gamma(x) \leq 0$. (We call K a compact set corresponding to U .)

As we have seen, R^1 has the R—L property. It is now easy to show that if the LCAG G has the R—L property then the Riemann—Lebesgue theorem holds for G .

Theorem C. Let G be a LCAG with the R—L property. If $f \in L^1(G)$ and f^\wedge is the Fourier transform of f , i.e.

$$(3) \quad f^\wedge(\gamma) = \int_G \overline{\gamma(x)} f(x) dx \quad (\gamma \in \Gamma),$$

then f^\wedge vanishes at infinity.

Proof. We simply imitate the proof in Theorem A. Given $\varepsilon > 0$ choose a neighborhood U of 0 in G such that $\|f - f_\gamma\|_1 < \varepsilon$ if $\gamma \in U$. (Here again, $f_\gamma(x) = f(x - \gamma)$.) According to the R—L property there exists a compact set K in Γ corresponding to U . Then if $\gamma \in \Gamma - K$ there exists x_0 in U with $\operatorname{Re} \gamma(x_0) \leq 0$. So

$$(4) \quad \overline{\gamma(x_0)} f^\wedge(\gamma) = \int_G \overline{\gamma(x + x_0)} f(x) dx = \int_G \overline{\gamma(x)} f_{x_0}(x) dx,$$

and, subtracting (4) from (3),

$$|f^\wedge(\gamma)| \cdot |1 - \overline{\gamma(x_0)}| \leq \|f - f_{x_0}\|_1 < \varepsilon.$$

Since $\operatorname{Re} \gamma(x_0) \leq 0$ we must have $|1 - \overline{\gamma(x_0)}| \geq 1$. Thus $|f^\wedge(\gamma)| < \varepsilon$ for all $\gamma \in \Gamma$ outside of the compact set K . That is, f^\wedge vanishes at infinity, which is what we wished to show.

In view of Theorem C, to show that the Riemann—Lebesgue theorem holds for an arbitrary LCAG G , it is sufficient to show that G has the R—L property. We do this in several steps ultimately making use of structure theory for the LCAG.

Lemma D. *If each of the locally compact abelian groups G_1 and G_2 has the R—L property, then so does $G_1 \times G_2$.*

Proof. Let $G = G_1 \times G_2$. Then the character group Γ of G is $\Gamma_1 \times \Gamma_2$ where Γ_i is the character group of G_i . Let U be any neighborhood of the identity in G . We may assume that $U = U_1 \times U_2$ where U_i is a neighborhood of the identity 0_i in G_i . According to the R—L property for G_i ($i=1, 2$), there exists a compact subset K_i of Γ_i corresponding to U_i . Now let $K = K_1 \times K_2$. If $\gamma = (\gamma_1, \gamma_2) \in \Gamma - K$ then either $\gamma_1 \notin K_1$ or $\gamma_2 \notin K_2$. We may assume $\gamma_1 \notin K_1$. Then, by the R—L property for G_1 , there exists $x_1 \in U_1$ with $\text{Re } \gamma_1(x_1) \leq 0$. Let $x = (x_1, 0_2)$. Then $x \in U$ and $\gamma(x) = \gamma_1(x_1)\gamma_2(0_2) = \gamma_1(x_1)$ and hence $\text{Re } \gamma(x) \leq 0$. Thus K may be used as a compact set corresponding to U , and so G has the R—L property.

Next we shall show that for any compact abelian group G , the topology for G is generated by finite independent subsets of Γ . (The subset $\{\beta_1, \dots, \beta_s\}$ of elements of a group is said to be independent if whenever n_1, \dots, n_s are integers with $\sum_{i=1}^s n_i \beta_i = 0$ then $n_i \beta_i = 0$ for all $i=1, \dots, s$.)

If $C = \{\gamma_1, \dots, \gamma_n\}$ is a finite set of characters of G and S is a symmetric open arc of the unit circle about 1 (that is, for some θ_0 with $0 < \theta_0 \leq \pi$, $S = \{e^{i\theta} \mid -\theta_0 < \theta < \theta_0\}$) then $U[C; S]$ denotes the set of x in G such that $\gamma_k(x) \in S$ for $k=1, \dots, n$. It is well known that the collection of all such $U[C; S]$ forms a basic set of neighborhoods of 0 in G . Thus, to show that the finite independent sets in Γ generate the topology of G , it is enough to show

Lemma E. *Let G be a compact abelian group. Then any neighborhood $U[C; S]$ contains a neighborhood $U[B; S']$ where B is a finite independent subset of Γ .*

Proof. Let $[C]$ denote the subgroup of Γ generated by $C = \{\gamma_1, \dots, \gamma_n\}$. Then $[C]$ is a finitely generated abelian group and is thus the direct sum of s cyclic subgroups. Let β_1, \dots, β_s be the generators of these cyclic subgroups. Then $B = \{\beta_1, \dots, \beta_s\}$ is an independent set. Now any γ_k in C may be expressed as $\gamma_k = n_{k1}\beta_1 + \dots + n_{ks}\beta_s$ where the n_{kj} are integers. (These representations may not be unique since some β 's can have finite order. In any case for each $k=1, 2, \dots, n$, fix one such representation.) Let $M_k = \sum_{j=1}^s |n_{kj}|$ and let $M = \max_{1 \leq k \leq n} M_k$. If $S = \{e^{i\theta} \mid -\theta_0 < \theta < \theta_0\}$ let $S' = \{e^{i\theta} \mid -\theta'_0 < \theta < \theta'_0\}$ where $\theta'_0 = \frac{\theta_0}{M}$. We shall show that $U[B; S'] \subset U[C; S]$. Indeed, if $x \in U[B; S']$ then $\beta_j(x) = e^{i\theta_j}$ where $|\theta_j| < \theta'_0$. Hence $\gamma_k(x) = \exp(i[n_{k1}\theta_1 + \dots + n_{ks}\theta_s])$. But $|n_{k1}\theta_1 + \dots + n_{ks}\theta_s| < M_k \theta'_0 \leq M \theta'_0 = \theta_0$. Hence $\gamma_k(x) \in S$ for $k=1, \dots, n$ and so $x \in U[C; S]$ which is what we wished to show.

We next prove

Lemma F. *Every compact abelian group G has the R—L property.*

Proof. Let U be any neighborhood of 0 in G . By the preceding lemma we may assume that $U = U[B; S]$ where $B = \{\alpha_1, \dots, \alpha_r, \beta_1, \dots, \beta_s\}$ is an independent set, the α_j having finite order and the β_j infinite order, and $S = \{e^{i\theta} - 1 \mid 0 < \theta < \theta_0\}$ where $0 < \theta_0 \cong \pi$. Let q be the smallest positive integer such that $q\theta_0 > \frac{\pi}{2}$. (Then $\operatorname{Re} e^{iq\theta_0} \cong 0$.)

Let K be the (finite) set of γ in Γ which can be expressed $\gamma = \alpha + n_1\beta_1 + \dots + n_s\beta_s$ where α is an element of $[\alpha_1, \dots, \alpha_r]$, the finite subgroup generated by $\alpha_1, \dots, \alpha_r$, and $|n_1| + \dots + |n_s| \cong q$. (If there are no α_j — that is if every element in B has infinite order — use $\{0\}$ instead of $[\alpha_1, \dots, \alpha_r]$. If there are no β_j , set $K = [\alpha_1, \dots, \alpha_r]$ and use obvious modifications in the remainder of the proof.) We shall now show that K may be taken as a compact set corresponding to U . For suppose $\gamma \in \Gamma - K$. There are two possible cases.

I. Suppose $\gamma \in [B]$ where $[B]$ is the subgroup of Γ generated by B . Then $\gamma = \alpha + n_1\beta_1 + \dots + n_s\beta_s$ for some $\alpha \in [\alpha_1, \dots, \alpha_r]$ and for some integers n_1, \dots, n_s . Since $\gamma \notin K$ we must have $M = |n_1| + \dots + |n_s| > q$. For $j = 1, \dots, r$ let $x(\alpha_j) = 1$. For $j = 1, \dots, s$ let $x(\beta_j) = e^{iq\theta_0/M}$ if $n_j > 0$, let $x(\beta_j) = e^{-iq\theta_0/M}$ if $n_j < 0$, and let $x(\beta_j) = 1$ if $n_j = 0$. Since $\alpha_1, \dots, \alpha_r, \beta_1, \dots, \beta_s$ are independent it is easy to verify that x may be extended multiplicatively to a character on $[B]$. We then have $x(\gamma) = \exp((iq\theta_0/M)[|n_1| + \dots + |n_s|]) = \exp(iq\theta_0)$. Now $[B]$ is a closed subgroup of Γ (since Γ is discrete). Hence we may extend x to a character on all of Γ , that is $x \in G$. But since $q\theta_0/M < \theta_0$ we have $\beta_j(x) = x(\beta_j) \in S$ for $j = 1, \dots, s$. Thus $x \in U$. Since $\operatorname{Re} \gamma(x) = \operatorname{Re} x(\gamma) = \operatorname{Re} e^{iq\theta_0} \cong 0$, this shows that γ takes an appropriate value at x . (Note: if there are no β_j in B then case I cannot occur, since then $K = [B]$ and $\gamma \notin K$.)

II. Suppose $\gamma \notin [B]$. Then there is an element $y \in G$ such that y is in the annihilator of $[B]$ but $\gamma(y) = \gamma(y) \neq 1$. If $x = y^p$ for an appropriate positive integer p , we have $\operatorname{Re} \gamma(x) = \operatorname{Re} [\gamma(y)]^p \cong 0$. But x is also in the annihilator of $[B]$ so that $\alpha_j(x) = x(\alpha_j) = 1 = x(\beta_j) = \beta_j(x)$ for all $\alpha_j, \beta_j \in B$. Hence, $x \in U$ and the proof is complete.

Lemma G. Let H be a LCAG which contains a compact open subgroup G . Then H has the R-L property.

Proof. Let U be any neighborhood of the identity 0 of H . We may assume $U \subset G$. (Otherwise, since G is open, we could consider $U \cap G$ instead of U .) Lemma F shows that the compact group G has the R-L property. Thus there is a finite subset $K_0 = \{\gamma_1, \dots, \gamma_n\}$ of characters of G corresponding to U . Since G is compact, every γ_j may be extended to a character λ_j of H . If μ_j is any other character of H which is also an extension of γ_j then $\mu_j\lambda_j^{-1}$ is an element of the annihilator A of G .

(Here, of course, $A \subset H^\wedge$ where H^\wedge is the character group of H .) That is, $\mu_j \in \lambda_j A$. Hence, if we set $K = \bigcup_{j=1}^n \lambda_j A$ then K is the set of all extensions of $\gamma_1, \dots, \gamma_n$ to characters of H . Moreover, K is compact since A , being the annihilator of the open compact group G , is itself open and compact [3]. It is now easy to show that K may be used as a compact set corresponding to U . For if $\lambda \in H^\wedge - K$, then λ_G (the restriction of λ to G) is not one of the γ_j . That is, $\lambda_G \in G^\wedge - K_0$. Thus there exists $x \in U$ with $\operatorname{Re} \lambda_G(x) \cong 0$. Obviously, then, $\operatorname{Re} \lambda(x) \cong 0$ and we are done.

We now conclude with

Theorem H. *Every LCAG has the R—L property.*

Proof. Every LCAG may be factored as $R^n \times H$ for some $n=0, 1, 2, \dots$, where R^n is Euclidean n -space and H is a LCAG with a compact open subgroup [3]. After Definition B we observed that R^1 has the R—L property. Hence, by Lemma D, R^n also has the R—L property. This together with Lemma G and another application of Lemma D complete the proof.

Corollary I. *The Riemann—Lebesgue theorem holds for every LCAG.*

Proof. Theorem H and Theorem C.

References

- [1] R. R. GOLDBERG, *Fourier Transforms* (Cambridge, 1961).
- [2] E. HEWITT, A new proof of Plancherel's theorem for locally compact abelian groups, *Acta Sci. Math.*, **24** (1963), 219—227.
- [3] E. HEWITT and K. A. ROSS, *Abstract Harmonic Analysis*, Vol. 1 (Berlin—Heidelberg—Göttingen, 1963).
- [4] M. NAIMARK, *Normierte Algebren* (Berlin, 1959).

(Received February 6, 1965)